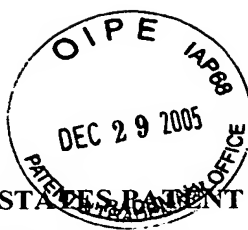


Docket No. 283121US0PCT



IP4 Rec'd PCT/PTO 29 DEC 2005 CT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Haruo SUGIYAMA  
SERIAL NO: 10/527,692  
FILED: March 11, 2005  
FOR: CANCER ANTIGEN PEPTIDE FORMULATIONS

GAU:  
EXAMINER:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Obion

James J. Kelly, Ph.D.  
Registration No. 41,504

Customer Number

22850

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(OSMMN 05/03)



Docket No.: 283121US0PCT

Serial No.: 10/527,692

Inventor: Haruo SUGIYAMA

LIST OF RELATED CASES CITED BY  
APPLICANT UNDER 37 CFR 1.56

Filing Date: March 11, 2005

Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	275588US96PCT	10/490,873	06/17/04	US2004/0247609 A1	SUGIYAMA
	254147US40PCT	09/744,815	01/30/01		SUGIYAMA, et al.
	254149US40PCT	10/471,835	09/15/03	US2004/0097703 A1	SUGIYAMA
	275595US96PCT	10/490,865	06/17/04	US2005/0002951 A1	SUGIYAMA, et al.
	275596US96XPCT	10/517,600	12/13/04		SUGIYAMA, et al.
	283121US0PCT*	10/527,692	03/11/05		SUGIYAMA
	283124US0XPCT	10/528,360	03/18/05		SUGIYAMA, et al.
	276146US40DIV	11/196,459	08/04/05	US2005/0266014 A1	SUGIYAMA, et al.
	276141US40CONT	11/196,452	08/04/05		SUGIYAMA, et al.
	283125US0XPCT	10/541,821	07/11/05		SUGIYAMA, et al.

Examiner

Date Considered

\*Present Application; listed for information  
NFO/hah

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 283121US0PCT		SERIAL NO. 10/527,692	
<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 1px solid black; border-radius: 50%; padding: 10px; text-align: center; margin: 0 10px;"> O I P E DEC 29 2005 PATENT &amp; TRADEMARK OFFICE </div> <div style="text-align: left;"> LIST OF REFERENCES CITED BY APPLICANT </div> </div>				APPLICANT Haruo SUGIYAMA			
				FILING DATE March 11, 2005		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2004/0247609	12/09/04	SUGIYAMA			
	AB	2004/0097703	05/20/04	SUGIYAMA			
	AC	2005/0002951	01/06/05	SUGIYAMA ET AL			
	AD	2005/0266014	12/01/05	SUGIYAMA ET AL			
	AE						
	AF						
	AG						
	AH						
	AI						
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	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							